



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Garner et al.

Examiner: Unknown

Serial No.: 10/083,810

Group Art Unit: 1723

Filed: February 27, 2002

Docket No.: 1001.1495101

For: INTRAVASCULAR FILTER RETRIEVAL DEVICE HAVING AN ACTUATABLE DILATOR TIP

RECEIVED
OCT 31 2002
TC 1700

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
NOV 21 2002
TECHNOLOGY CENTER

Sir:

<p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>25th</u> day of <u>October</u>, 2002.</p> <p>By: <u>[Signature]</u> Glenn M. Seager</p>

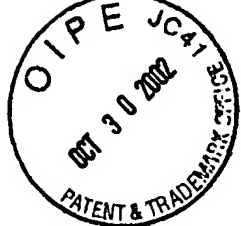
We are transmitting herewith the attached:

- ☒ Certification for Information Disclosure Statement Under 37 CFR1.97(e).
- ☒ Information Disclosure Statement.
- ☒ Form 1449 with Two (2) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-04137

By: [Signature]

Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Garner et al.

Examiner: Unknown

Serial No.: 10/083,810

Group Art Unit: 1723

Filed: February 27, 2002

Docket No.: 1001.1495101

RECEIVED
OCT 31 2002
TC 1700

For: INTRAVASCULAR FILTER RETRIEVAL DEVICE HAVING AN ACTUATABLE
DILATOR TIP

CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97(e)

Assistant Commissioner
for Patents
Washington, D.C. 20231

RECEIVED

NOV 21 2002

TECHNOLOGY CENTER R3700

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231.

25th DAY OF October 2002.
Glenn M. Seager

Dear Sir:

1. This certification is being made for the Information Disclosure Statement
accompanying this certification.

2. I, the person(s) signing below certify:

X That each item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a
counterpart foreign application not more than three months prior to the filing of
the statement. 37 CFR §1.97(e)(1).

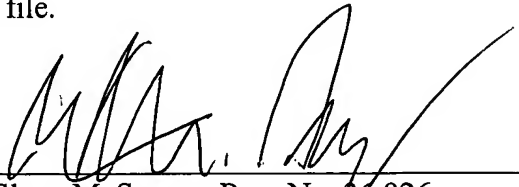
or

— That no item of information contained in the information disclosure
statement was cited in a communication from a foreign patent office in a

counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.
37 CFR §1.97(e)(2).

3. The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in §1.56(c).
- ☒ in the attorney's file.


Glenn M. Seager, Reg. No. 36,926
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South
Suite 895
Minneapolis, Minnesota 55401
Address of Attorney



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Garner et al.

Examiner: Unknown

Serial No.: 10/083,810

Group Art Unit: 1723

Filed: February 27, 2002

Docket No.: 1001.1495101

RECEIVED
OCT 31 2002
TC 1700

For: INTRAVASCULAR FILTER RETRIEVAL DEVICE HAVING AN ACTUATABLE
DILATOR TIP

Assistant Commissioner
for Patents
Washington, D.C. 20231

RECEIVED

NOV 21 2002

TECHNOLOGY CENTER R3700

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES
POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO: ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C.
20231

DAY OF October, 2002.

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

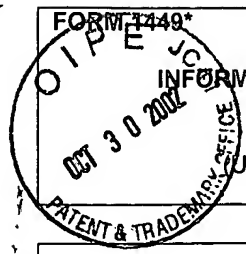
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56,
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references
establishes, by itself or in combination with other information, a prima facie case of
unpatentability of any claim of the present case.

Respectfully submitted,

Dated: Oct. 25, 2002

Glenn M. Seager
Glenn M. Seager, Reg. No. 36,926
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number:
1001.1495101

Application Number:
10/083,810

Applicant: Garner et al.

Filing Date: February 27, 2002

Group Art Unit: 1723

U.S. Patent Documents

[illegible]

FOREIGN PATENT DOCUMENTS

	WO 01/12082 A1	2/22/01	PCT		
	FR 2 774 893 A1	2/16/98	France		English Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.